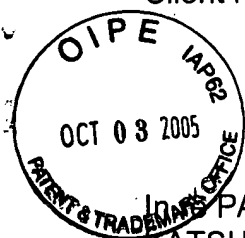


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



IN RE: PATENT APPLICATION of:  
KATSUICHI OSAKABE

Confirmation Number: 4629

Application No.: 10/068,503

Group Art Unit: 2655

Filed: February 5, 2002

Examiner: MOHAMMAD EDUN

For: OPTICAL DISK RECORDING APPARATUS WITH REALTIME UPDATING OF  
STRATEGY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT/RESPONSE TRANSMITTAL**

Transmitted herewith is an amendment/response for this application.

**FEES**

The fee for claims and extension of time (37 C.F.R. 1.16 and 1.17) has been calculated  
as shown below:

CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE		ADDIT. FEE	
TOTAL	45	–	36	=	9	X \$	50.00	=	\$ 450.00
INDEP.	13	–	11	=	2	X \$	200.00	=	\$ 400.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+ \$	360.00	=	\$ 0.00
TOTAL ADDITIONAL CLAIM FEE								\$	850.00
GRAND TOTAL								\$	850.00

**FEE PAYMENT**

Authorization is hereby made to charge the amount of \$850.00 to Deposit Account No. 161805. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Date: September 29, 2005

PILLSBURY WINTHROP SHAW PITTMAN LLP  
725 South Figueroa Street  
Suite 2800  
Los Angeles, CA 90017-5406  
213 488-7417



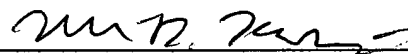
MARK R. KENDRICK  
Reg. No. 48468

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8 and/or 1.10\***

(When using Express Mail, the Express Mail label number is *mandatory*; Express Mail certification is *optional*.)

I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



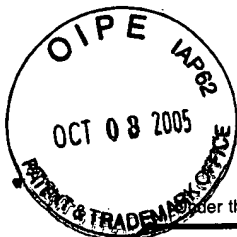
Signature

Date: September 29, 2005

MARK R. KENDRICK

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.



PTO/SB/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).

# FEE TRANSMITTAL for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 850

## Complete if Known

Application Number	10/058,953
Filing Date	January 28, 2002
First Named Inventor	KATSUICHI OSAKABE
Examiner Name	MOHAMMAD EDUN
Art Unit	2655
Attorney Docket No.	51270-277036

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 161805 Deposit Account Name: PILLSBURY WINTHROP SHAW  
PITTMAN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fees(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fee Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims 45 -36\*\* Extra Claims 9 Fee (\$ 50.00 Fee Paid (\$) 450.00

HP = highest number of total claims paid for, if greater than 20

Indep. Claims 13 -11\*\*3 or HP = 2 Extra Claims 2 Fee (\$ 200.00 Fee Paid (\$) 400.00

HP = highest number of independent claims paid for, if greater than 3

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets 100 - 100 = Extra Sheets 0 /50= Number of each additional 50 or fraction thereof 0 Fee (\$ 250.00 Fee Paid (\$) 0

### 4. OTHER FEE(S)

Non-English Specification, 130 fee (no small entity discount)

Other:

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	48468	Telephone	213-488-7253
Name (Print/Type)	MARK R. KENDRICK	Date	September 29, 2005		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT  
51270-277036

2655  
IF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
OSAKABE, KATSUICHI

Serial No: 10/058,953

Filed: 01/28/2002

For: OPTICAL DISK WITH  
PRERECORDED CONTROL INFORMATION

Art Unit: 2655

Examiner: Edun, Mohammad

I hereby certify that this correspondence is  
being deposited with the United States  
Postal Service with sufficient postage as  
first class mail in an envelope addressed  
to: Commissioner for Patents, P.O. Box  
1450, Alexandria, VA 22313-1450, on

September 29, 2005

Date of Deposit

Mark R. Kendrick, Reg. No 48,468

Name

Mark R. Kendrick Sept. 29, 2005

Signature

Date

**AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Examiner Edun,

In response to the June 29, 2005 Office Action, in connection with the  
above-identified application, please enter and consider the following amendment  
and remarks.

10/04/2005 LWONDIM1 00000006 161805 10058953

01 FC:1202 450.00 DA  
02 FC:1201 400.00 DA